

# Semantics

## Semantics

- Semantics is a precise definition of the meaning of a syntactically and type-wise correct program.
- Ideas of meaning:
  - Operational Semantics
    - The meaning attached by compiling using compiler C and executing using machine M. Ex: Fortran on IBM 709
  - Axiomatic Semantics
    - Formal specification to allow us to rigorously prove what the program does with a systematic logical argument
  - Denotational Semantics
    - Statements as state transforming functions
- We will focus on denotational semantics

# Program State

- Definition: The state of a program is the binding of all active objects to their current values.
- Maps:
  1. The pairing of active objects with specific memory locations, and
  2. The pairing of active memory locations with their current values.
- E.g. given  $i = 13$  and  $j = -1$ 
  - Environment =  $\{ \langle i, 154 \rangle, \langle j, 155 \rangle \}$
  - Memory =  $\{ \langle 0, \text{undef} \rangle, \dots \langle 154, 13 \rangle, \langle 155, -1 \rangle \dots \}$
  
- The current statement (portion of an abstract syntax tree) to be executed in a program is interpreted relative to the current state.
- The individual steps that occur during a program run can be viewed as a series of state transformations.

# Assignment Semantics

- Three issues or approaches
  - Multiple assignment
  - Assignment statement vs. expression
  - Copy vs. reference semantics

## Multiple Assignment

- Example:
  - $a = b = c = 0;$
  - Sets all 3 variables to zero.

## Assignment Statement vs. Expression

- In most languages, assignment is a statement; cannot appear in an expression.
- In C-like languages, assignment is an expression.
  - Example:
  - *if (a = 0) ... // an error?*
  - *while (\*p++ = \*q++) ; // strcpy*
  - *while (p = p->next) ... // ???*

## Copy vs. Reference Semantics

- Copy:  $a = b$ ;
  - $a, b$  have same value.
  - Changes to either have no effect on other.
  - Used in imperative languages.
- Reference
  - $a, b$  point to the same object.
  - A change in object state affects both
  - Used by many object-oriented languages.

## State Transformations

- **Defn:** The *denotational semantics* of a language defines the meanings of abstract language elements as a collection of state-transforming functions.
- **Defn:** A *semantic domain* is a set of values whose properties and operations are independently well-understood and upon which the rules that define the semantics of a language can be based.

## Partial Functions

- State-transforming functions in the semantic definition are necessarily **partial functions**
- A partial function is one that is not well-defined for all possible values of its domain (input state)

# C-Like Semantics

- *State* – represent the set of all program states
- A *meaning* function  $M$  is a mapping:
  - $M: \text{Program} \rightarrow \text{State}$
  - $M: \text{Statement} \times \text{State} \rightarrow \text{State}$
  - $M: \text{Expression} \times \text{State} \rightarrow \text{Value}$

## Meaning Rule - Program

- The meaning of a *Program* is defined to be the meaning of the *body* when given an initial state consisting of the variables of the *decpart* initialized to the *undef* value corresponding to the variable's type.

```
State M (Program p) {  
    // Program = Declarations decpart; Statement body  
    return M(p.body, initialState(p.decpart));  
}  
public class State extends HashMap { ... }
```

```
State initialState (Declarations d) {  
    State state = new State( );  
    for (Declaration decl : d)  
        state.put(decl.v, Value.mkValue(decl.t));  
    return state;  
}
```

## Statements

- $M: \text{Statement} \times \text{State} \rightarrow \text{State}$
- Abstract Syntax  
Statement = Skip | Block | Assignment | Loop |  
Conditional

```
State M(Statement s, State state) {
    if (s instanceof Skip) return M((Skip)s, state);
    if (s instanceof Assignment) return M((Assignment)s, state);
    if (s instanceof Block) return M((Block)s, state);
    if (s instanceof Loop) return M((Loop)s, state);
    if (s instanceof Conditional) return M((Conditional)s, state);
    throw new IllegalArgumentException( );
}
```

## Meaning Rule - Skip

- The meaning of a *Skip* is an identity function on the state; that is, the state is unchanged.

```
State M(Skip s, State state) {
    return state;
}
```



## Meaning Rule - Assignment

- The meaning of an Assignment statement is the result of replacing the value of the *target* variable by the computed value of the *source* expression in the current state

Assignment = Variable target;  
Expression source

```
State M(Assignment a, State state) {  
    return state.onion(a.target, M(a.source, state));  
}
```

```
// onion replaces the value of target in the map by the source  
// called onion because the symbol used is sometimes sigma  $\sigma$   
to represent state
```

## Meaning Rule - Conditional

- The meaning of a conditional is:
  - If the test is true, the meaning of the thenbranch;
  - Otherwise, the meaning of the elsebranch

Conditional = Expression test;  
Statement thenbranch, elsebranch

```
State M(Conditional c, State state) {  
  if (M(c.test, state).boolValue( ))  
    return M(c.thenbranch, state);  
  else  
    return M(e.elsebranch, state);  
}
```

# Expressions

- $M: \text{Expression} \times \text{State} \rightarrow \text{Value}$
- $\text{Expression} = \text{Variable} \mid \text{Value} \mid \text{Binary} \mid \text{Unary}$
- $\text{Binary} = \text{BinaryOp } op; \text{Expression } term1, term2$
- $\text{Unary} = \text{UnaryOp } op; \text{Expression } term$
- $\text{Variable} = \text{String } id$
- $\text{Value} = \text{IntValue} \mid \text{BoolValue} \mid \text{CharValue} \mid \text{FloatValue}$

## Meaning Rule – Expr in State

- The meaning of an expression in a state is a value defined by:
  1. If a value, then the value. Ex: 3
  2. If a variable, then the value of the variable in the state.
  3. If a Binary:
    - a) Determine meaning of term1, term2 in the state.
    - b) Apply the operator according to rule 8.8 (perform addition/subtraction/multiplication/division)

...

```

Value M(Expression e, State state) {
  if (e instanceof Value) return (Value)e;
  if (e instanceof Variable) return (Value)(state.get(e));
  if (e instanceof Binary) {
    Binary b = (Binary)e;
    return applyBinary(b.op, M(b.term1, state),
      M(b.term2, state));
  }
  ...
}

```

## Formalizing the Type System

- Approach: write a set of function specifications that define what it means to be type safe
- Basis for functions: Type Map,  $tm$ 
  - $tm = \{ \langle v_1, t_1 \rangle, \langle v_2, t_2 \rangle, \dots, \langle v_n, t_n \rangle \}$
  - Each  $v_i$  represents a variable and  $t_i$  its type
  - Example:
    - `int i,j; boolean p;`
    - $tm = \{ \langle i, \text{int} \rangle, \langle j, \text{int} \rangle, \langle p, \text{boolean} \rangle \}$

# Declarations

- How is the type map created?
  - When we declare variables
- typing: Declarations  $\rightarrow$  Typemap
  - i.e. declarations produce a typemap
- More formally
  - typing(Declarations d) =  $\bigcup_{i=1}^n \langle d_i.v, d_i.t \rangle$
  - i.e. the union of every declaration variable name and type
  - In Java we implemented this using a HashMap

## Semantic Domains and States

- Beyond types, we must determine semantically what the syntax means
- **Semantic Domains** are a formalism we will use
  - Environment,  $\gamma$  = set of pairs of variables and memory locations
    - $\gamma = \{ \langle i, 100 \rangle, \langle j, 101 \rangle \}$  for i at Addr 100, j at Addr 101
  - Memory,  $\mu$  = set of pairs of memory locations and the value stored there
    - $\mu = \{ \langle 100, 10 \rangle, \langle 101, 50 \rangle \}$  for Mem(100)=10, Mem(101)=50
  - State of the program,  $\sigma$  = set of pairs of active variables and their current values
    - $\sigma = \{ \langle i, 10 \rangle, \langle j, 50 \rangle \}$  for i=10, j=50

# State Example

- $x=1; y=2; z=3;$ 
  - At this point  $\sigma = \{ \langle x, 1 \rangle, \langle y, 2 \rangle, \langle z, 3 \rangle \}$
  - Notation:  $\sigma(y)=2$
- $y=2*z+3;$ 
  - At this point  $\sigma = \{ \langle x, 1 \rangle, \langle y, 9 \rangle, \langle z, 3 \rangle \}$
- $w=4;$ 
  - At this point  $\sigma = \{ \langle x, 1 \rangle, \langle y, 9 \rangle, \langle z, 3 \rangle, \langle w, 4 \rangle \}$
- Can also have expressions; e.g.  $\sigma(x>0) = \text{true}$

# Overriding Union

State transformation represented using the Overriding Union

$X \bar{\cup} Y$  = replace all pairs  $\langle x, v \rangle$  whose first member matches a pair  $\langle x, w \rangle$  from  $Y$  by  $\langle x, w \rangle$  and then add to  $X$  any remaining pairs in  $Y$

Example:  $\sigma_1 = \{ \langle x, 1 \rangle, \langle y, 2 \rangle, \langle z, 3 \rangle \}$   
 $\sigma_2 = \{ \langle y, 9 \rangle, \langle w, 4 \rangle \}$   
 $\sigma_1 \bar{\cup} \sigma_2 = \{ \langle x, 1 \rangle, \langle y, 9 \rangle, \langle z, 3 \rangle, \langle w, 4 \rangle \}$

This will be used for assignment of a variable

# Denotational Semantics

$\Sigma$  : Set of all program states  $\sigma$

$M$  : Meaning function

- Meaning function
  - Input: abstract class, current state
  - Output: new state

$M : Class \times \Sigma \rightarrow \Sigma$

**Let's revisit our Meaning Rules and redefine them using our more Formal Denotational Semantics**

# Denotational Semantics

$M : Program \rightarrow \Sigma$

$M(Program\ p) = M(p.body, \sigma_{init})$

$\sigma_{init} = \{ \langle v_1, undef \rangle, \langle v_2, undef \rangle, \dots, \langle v_n, undef \rangle \}$

Meaning of a program: produce final state

This is just the meaning of the body in an initial state

Java implementation:

```
State M (Program p) {  
    // Program = Declarations decpart; Statement body  
    return M(p.body, initialState(p.decpart));  
}  
public class State extends HashMap { ... }
```

# Meaning for Statements

- $M : \text{Statement} \times \text{State} \rightarrow \text{State}$
- $M(\text{Statement } s, \text{State } \sigma) =$ 

$M(\text{Skip } s, \sigma)$	if $s$ is a Skip
$M(\text{Assignment } s, \sigma)$	if $s$ is Assignment
$M(\text{Conditional } s, \sigma)$	if $s$ is Conditional
$M(\text{Loop } s, \sigma)$	if $s$ is a Loop
$M(\text{Block } s, \sigma)$	if $s$ is a Block

## Semantics of Skip

- Skip

$$M(\text{Skip } s, \text{State } \sigma) = \sigma$$

- Skip statement can't change the state



## Semantics of Assignment

- Evaluate expression and assign to var

$M : \text{Assignment} \times \Sigma \rightarrow \Sigma$

$M(\text{Assignment } a, \text{State } \sigma) = \sigma \bar{U}\{\langle a.\text{target}, M(a.\text{source}, \sigma) \rangle\}$

- Examples of:  $x=a+b$

$\sigma = \{\langle a, 3 \rangle, \langle b, 1 \rangle, \langle x, 88 \rangle\}$

$M(x = a + b; , \sigma) = \sigma \bar{U}\{\langle x, M(a + b, \sigma) \rangle\}$

$\sigma = \{\langle a, 3 \rangle, \langle b, 1 \rangle, \langle x, 4 \rangle\}$

## Semantics of Conditional

$M(\text{Conditional } c, \text{State } \sigma)$

$= M(c.\text{thenbranch}, \sigma)$  if  $M(c.\text{test}, \sigma)$  is true

$= M(c.\text{elsebranch}, \sigma)$  otherwise

If  $(a > b)$   $\text{max} = a;$  else  $\text{max} = b$

$\sigma = \{\langle a, 3 \rangle, \langle b, 1 \rangle\}$

$M(\text{if } (a > b) \text{max} = a; \text{else max} = b; , \sigma)$

$= M(\text{max} = a; , \sigma)$  if  $M(a > b, \sigma)$  is true

$= M(\text{max} = b; , \sigma)$  otherwise ;

## Conditional, continued

$$\sigma = \{ \langle a, 3 \rangle \langle b, 1 \rangle \}$$

$$M(\text{if } (a > b) \text{max} = a; \text{else max} = b; , \sigma)$$

$$= M(\text{max} = a; , \sigma) \quad \text{since } M(a > b, \sigma) \text{ is true}$$

$$= \sigma \bar{U} \{ \langle \text{max}, 3 \rangle \}$$

$$= \sigma \{ \langle a, 3 \rangle, \langle b, 1 \rangle, \langle \text{max}, 3 \rangle \}$$

## Semantics of Block

- Block is just a sequence of statements

$$M(\text{Block } b, \text{State } \sigma)$$

$$= \sigma \quad \text{if } b = \varnothing$$

$$= M((\text{Block})b_{2..n}, M((\text{Statement})b_1, \sigma)) \quad \text{if } b = b_1 b_2 \dots b_n$$

- Example for Block b:

fact = fact \* i;

i = i - 1;

## Block example

- $b_1 = \text{fact} = \text{fact} * i;$
  - $b_2 = i = i - 1;$
- } b
- $M(b, \sigma) = M(b_2, M(b_1, \sigma))$   
 $= M(i=i-1, M(\text{fact}=\text{fact}*i, \sigma))$   
 $= M(i=i-1, M(\text{fact}=\text{fact}*i, \{<i,3>, <\text{fact}, 1>\}))$   
 $= M(i=i-1, \{<i,3>, <\text{fact}, 3>\})$   
 $= \{<i,2>, <\text{fact}, 3>\}$

## Semantics of Loop

- Loop = Expression test; Statement body

$$\begin{aligned} M(\text{Loop } l, \text{State } \sigma) & \\ &= M(l, M(l.\text{body}, \sigma)) \quad \text{if } M(l.\text{test}, \sigma) \text{ is true} \\ &= \sigma \quad \text{otherwise} \end{aligned}$$

- Recursive definition

# Loop Example

- Initial state  $\sigma = \{ \langle N, 3 \rangle \}$

```
fact=1;
i=N;
while (i>1) {
    fact = fact * i;
    i = i -1;
}
```

After first two statements,  $\sigma = \{ \langle \text{fact}, 1 \rangle, \langle N, 3 \rangle, \langle i, 3 \rangle \}$

# Loop Example

$$\begin{aligned} \sigma &= \{ \langle \text{fact}, 1 \rangle, \langle N, 3 \rangle, \langle i, 3 \rangle \} \\ M(\text{while}(i>1) \{ \dots \}, \sigma) & \\ &= M(\text{while}(i>1) \{ \dots \}, M(\text{fact}=\text{fact}*i; i=i-1;, \sigma)) \\ &= M(\text{while}(i>1) \{ \dots \}, M(\text{fact}=\text{fact}*i; i=i-1;, \{ \langle \text{fact}, 3 \rangle, \langle N, 3 \rangle, \langle i, 2 \rangle \})) \\ &= M(\text{while}(i>1) \{ \dots \}, M(\text{fact}=\text{fact}*i; i=i-1;, \{ \langle \text{fact}, 6 \rangle, \langle N, 3 \rangle, \langle i, 1 \rangle \})) \\ &= M(\sigma) \\ &= \{ \langle \text{fact}, 6 \rangle, \langle N, 3 \rangle, \langle i, 1 \rangle \} \end{aligned}$$



# Arithmetic Example

- Compute the meaning of  $x+2*y$
- Current state  $\sigma = \{ \langle x, 2 \rangle, \langle y, -3 \rangle, \langle z, 75 \rangle \}$
- Want to show:  $M(x+2*y, \sigma) = -4$ 
  - $x+2*y$  is Binary
  - From  $M(\text{Expression } e, \text{State } \sigma)$  this is
    - $\text{ApplyBinary}(e.op, M(e.term1, \sigma), M(e.term2, \sigma))$
    - $= \text{ApplyBinary}(+, M(x, \sigma), M(2*y, \sigma))$
    - $= \text{ApplyBinary}(+, 2, M(2*y, \sigma))$
  - $M(2*y, \sigma)$  is also Binary, which expands to:
    - $\text{ApplyBinary}(*, M(2, \sigma), M(y, \sigma))$
    - $= \text{ApplyBinary}(*, 2, -3) = -6$
- Back up:  $\text{ApplyBinary}(+, 2, -6) = -4$

# Java Implementation

```
Value M(Expression e, State state) {
    if (e instanceof Value) return (Value)e;
    if (e instanceof Variable) return (Value)(state.get(e));
    if (e instanceof Binary) {
        Binary b = (Binary)e;
        return applyBinary(b.op, M(b.term1, state),
            M(b.term2, state));
    }
    ...
}
```

Code close to the denotational semantic definition!